

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
Under 37 CFR 1.97(b), (c), or (d)

Docket No.
4074C1/CPES/DT/PJS

In re Application of: **Tepman, A.**

Serial No.
10/655,949

Filing Date
September 5, 2003

Examiner

Group Art Unit

Title: **COOLING SYSTEM FOR MAGNETRON SPUTTERING APPARATUS**



Address to:
Commissioner for Patents
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), but prior to the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by the statement or fee as indicated below.

37 CFR 1.97(d)

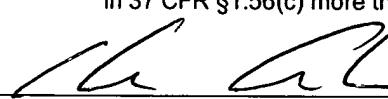
3. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(c), but on or before payment of the issue fee and is accompanied by the statement and fee as indicated below.

Required Statements and/or Fees Under 37 CFR 1.97(c) or (d)

- Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(1))
- No item of information in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(2))
- The fee set forth in 37 CFR 1.17(p). Please credit any overpayment or charge any insufficiencies to deposit account number 20-0782.

37 CFR §1.704(d)

4. Each item of information in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 CFR §1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

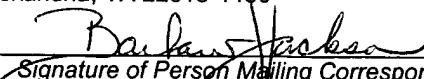

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Dated: Oct 28, 2003

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I certify that this document is being deposited on 10-28-2003 with the U.S. Postal Service as first class mail under 37 CFR §1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450


Barbara J. Jackson

Signature of Person Mailing Correspondence

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Typed or Printed Name of Person Mailing Correspondence

U.S. Department of Commerce, Patent and Trademark Office (PTO Form 1449 modified)		Docket No. 4074C1/CPES/DT/PJS	Serial No. 10/655,949
INFORMATION DISCLOSURE STATEMENT BY APPLICANT OCT 30 2003		Applicant Tepman, A.	Confirmation No.:
(Use several sheets if necessary)		Filing Date 09/05/2003	Group
	Examiner		

U.S. Patent Documents

*Examiner Initial		Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate
	A1	4,746,417	05/24/1988	Ferenbach et al.	204	298	
	A2	5,171,415	12/15/1992	Miller et al.	204	298.09	
	A3	5,200,049	04/06/1993	Stevenson et al.	204	298.22	
	A4	5,953,827	09/21/1999	Or et al.	34	58	
	A5						
	A6						
	A7						
	A8						
	A9						
	A10						
	A11						
	A12						
	A13						

Foreign Patent Documents

*Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation/Abstract	
							YES	NO
	B1	59-215484	12/05/1984	JP	C23c0	15/00	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	B2						<input type="checkbox"/>	<input type="checkbox"/>
	B3						<input type="checkbox"/>	<input type="checkbox"/>
	B4						<input type="checkbox"/>	<input type="checkbox"/>
	B5						<input type="checkbox"/>	<input type="checkbox"/>

OTHER ART

*Examiner Initial	Including Author, Title, Date, Pertinent Pages, Etc.	
	C1	"High Pressure/Low Torque Water Union," Data Sheet, Deublin Company, Model 927, pp. 1
	C2	
	C3	

Examiner	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.